

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

UNITED STATES COURTS
SOUTHERN DISTRICT OF TEXAS
FILED

DEC 28 2001

Michael N. Milby, Clerk

MARK NEWBY,

Plaintiff

VS.

ENRON CORPORATION, ET AL

Defendants

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Civil Action No. H-01-3624

PLAINTIFF PULSIFER & ASSOCIATES'
CERTIFICATE OF FINANCIALLY INTERESTED PARTIES

TO THE HONORABLE UNITED STATES DISTRICT JUDGE:

COMES NOW Plaintiff, **PULSIFER & ASSOCIATES** in the above-entitled and numbered cause and files this Certificate of Financial Interested Parties in accordance with paragraph 2 of the Order for Conference and Disclosure of Interested Parties.

The following persons and corporations are or maybe financially interested in the outcome of this litigation:

1. Pulsifer & Associates, Plaintiff; and, Class Member and Alternative Movant, Murray Van de Velde;
2. Blake Tartt and the law firm of Beirne, Maynard & Parsons and Thomas G. Shapiro and the law firm of Shapiro Haber & Urmy, L.L.P., as attorneys for Pulsifer and Associates;
3. Mark Newby, Plaintiff in related case;
4. Tom Alan Cunningham and Richard Zook and the law firm of Cunningham, Darlow, Zook & Chapoton, L.L.P. and Thomas G. Shapiro and the law firm of Shapiro Haber & Urmy, L.L.P., as attorneys for Mark Newby;

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5. Patricia D. Parsons, Plaintiff in related case;
6. Tom Alan Cunningham and Richard Zook and the law firm of Cunningham, Darlow, Zook & Chapoton, L.L.P. and Thomas G. Shapiro and the law firm of Shapiro Haber & Urmy, L.L.P., as attorneys for Patricia D. Parsons;
7. Dorothy Ricketts, Plaintiff;
8. Tom Alan Cunningham and Richard Zook and the law firm of Cunningham, Darlow, Zook & Chapoton, L.L.P. and Thomas G. Shapiro and the law firm of Shapiro Haber & Urmy, L.L.P., as attorneys for Dorothy Ricketts;
9. Seth Abrahams, Plaintiff in related case;
10. Steven Frank, Plaintiff in related case;
11. The law firms of Hoeffner & Bilek, L.L.P., Milberg Weiss Bershad Hynes & Lerach, L.L.P., Cauley Bowman & Coates, Richardson, Stoops, Richardson & Ward, Law Offices of Bernard M. Gross, P.C., as attorneys for Seth Abrahams and Steven Frank;
12. Robert J. Casey, II, Plaintiff in related case;
13. Ruth I. Horton, Plaintiff in related case;
14. The law firms of Hoeffner & Bilek, L.L.P. and Law Office of Alfred G. Yates, Jr., as attorneys for Robert J. Casey, II and Ruth I. Horton;
15. Frank Wilson, Plaintiff in related case;
16. The law firms of Hackerman Frankel & Manela, Berger & Montague, P.C., and Schatz & Nobel, P.C. as attorneys for Frank Wilson;
17. J. Michael Gottesman, Plaintiff in related case;
18. The law firms of Hoeffner & Bilek, L.L.P. and Stull, Stull & Brody, as attorneys for J. Michael Gottesman;
19. Avigayil Greenberg, Plaintiff in related case;
20. The law firms of Hoeffner & Bilek, L.L.P. and Bernstein Liebhard & Lifshitz, L.L.P., as attorneys for Avigayil Greenberg;
21. Robert Christianson, Plaintiff in related case;

22. The law firms of Hoeffner & Bilek, L.L.P., Stull, Stull & Brody and Weiss & Yourman, as attorneys for Robert Christianson;
23. Ernest Gottdiener, Plaintiff in related case;
24. The law firms of Hoeffner & Bilek, L.L.P. and Bull & Lifshitz, L.L.P., as attorneys for Ernest Gottdiener;
25. John P. McCarthy for the John P. McCarthy Money Purchase Plan, Plaintiff in related case;
26. The law firms of Hoeffner & Bilek, L.L.P. and Spector, Roseman & Kodroff, P.C., as attorneys for John P. McCarthy for the John P. McCarthy Money Purchase Plan;
27. Henry H. Steiner, Plaintiff in related case;
28. The law firms of McGehee & Pianelli, L.L.P. and Wolf Haldestein Adler Freeman & Herz, L.L.P., as attorneys for Henry H. Steiner;
29. Michael Koroluk, Plaintiff in related case;
30. The law firm of Hoeffner & Bilek, L.L.P., as attorneys for Michael Koroluk;
31. James Brill, Plaintiff in related case;
32. The law firms of Hoeffner & Bilek, L.L.P. and Wechsler Harwood Halebian & Feffer, L.L.P., as attorneys for James Brill;
33. Elmar A. Busch, Plaintiff in related case;
34. The law firms of Hoeffner & Bilek, L.L.P. and Stull, Stull & Brody, as attorneys for Elmar A. Busch;
35. Warren Pinchuck, Plaintiff in related case;
36. The law firms of Hoeffner & Bilek, L.L.P. and Rabin & Peckel, L.L.P., as attorneys for Warren Pinchuck;
37. Mahin S. Mashayekh, Plaintiff in related case;
38. The law firms of Hoeffner & Bilek, L.L.P. and Lionel Z. Glancy, as attorneys for Mahin S. Mashayekh;
39. Barbara D. Lee, Plaintiff in related case;

40. The law firms of Hoeffner & Bilek, L.L.P. and Lovell & Stewart, L.L.P., as attorneys for Barbara D. Lee;
41. Daniel M. Karcich, Plaintiff in related case;
42. The law firms of Hoeffner & Bilek, L.L.P. and Marc H. Edelson, as attorneys for Daniel M. Karcich;
43. Naomi Raphael, Plaintiff in related case;
44. The law firms of Hoeffner & Bilek, L.L.P. and Milberg Weiss Bershad Haynes & Zerach, L.L.P., as attorneys for Naomi Raphael;
45. Pamela M. Tittle, Plaintiff in related case;
46. The law firm of Campbell Harrison & Wright, L.L.P., Keller, Rohrback, L.L.P. and Dalton Gotto Samson & Kilgard, P.L.C., as attorneys for Pamela M. Title;
47. Victor Ronald Frangione, Plaintiff in related case;
48. The law firm of Federman & Sherwood and Zwerling, Schachter & Zwerling, L.L.P., as attorneys for Victor Ronald Frangione;
49. City of Birmingham Retirement and Relief Plan, Plaintiffs in related case;
50. The law firm of Whatley Drake, LLC, as attorney for the City of Birmingham Retirement and Relief Plan;
51. Frank Anthony Cammarata, III, Plaintiff in related case;
52. The law firms of Hoeffner Bilek & Eidman and Law Office of Leo Desmond, as attorneys for William Coy;
53. George Nicoud, Plaintiff in related case;
54. Sankey & Luck, L.L.P., as attorney for George Nicoud;
55. Amalgamated Bank, Plaintiff in related case;
56. The law firms of Schwartz, Junell, Campbell & Oathout, L.L.P. and Milberg Weiss Bershad Hynes & Lerach, L.L.P., as attorney for Amalgamated Bank;
57. Catherine and Waye Stevens, Charles Bradley and Wayne Amondson, Plaintiffs in related;


58. The law firm of The Emerson Firm, as attorneys for Catherine and Waye Stevens, Charles Bradley and Wayne;
59. Kenneth Franklin, Plaintiff in related case;
60. The law firms of Hoeffner & Bilek, L.L.P., Law Office of Curtis V. Trinko, L.L.P. and the Law Offices of Kenneth Elan;
61. Richard Pottratz and Bradley Diebner, Plaintiffs in related case;
62. The law firm of Schwartz, Junell, Campbell & Oathout, L.L.P., as attorneys for Richard Pottratz and Bradley Diebner;
63. James J. Daly, as Trustee of the James J. Daly IRA Rollover, Plaintiff in related case;
64. The law firms of Hoeffner & Bilek, L.L.P. and Louis F. Burke, P.C., as attorneys for James J. Daly as Trustee of the James J. Daly IRA Rollover;
65. Susan Copley, Plaintiff in related case;
66. The law firms of Hoeffner & Bilek, L.L.P., Donovan Searles, L.L.C. and Weinstein Kitchenoff Scarlato & Goldman, Ltd., as attorneys for Susan Copley;
67. Enron Corporation, Defendant, is an Oregon corporation;
68. Stephen D. Susman and the law firm of Susman Godfrey, L.L.P., as attorneys for Enron Corporation;
69. Jeffrey J. Skilling, Defendant, was Enron's President and Chief Executive Officer and a member of the Board of Directors of Enron until his resignation in August 2001;
70. Jeffrey W. Kilduff and the law firm of O'Melveny & Myers, L.L.P., as attorneys for Jeffrey J. Skilling;
71. Andrew S. Fastow, Defendant, is the former Executive Vice-President and Chief Financial Officer of Enron;
72. The law firms of Boies, Schiller & Flexner, L.L.P. and Smyser Kaplan & Veselka, L.L.P., as attorneys for Andrew S. Fastow;
73. Kenneth L. Lay, Defendant, is President and Chief Executive Officer and Chairman of the Board of Enron;
75. Norman P. Blake, Jr., Defendant, is a Director of Enron;

76. Ronnie C. Chan, Defendant, is a Director of Enron;
77. John H. Duncan, Defendant, is a Director of Enron;
78. Wendy L. Gramm, Defendant, is a Director of Enron;
79. Ken L. Harrison, Defendant, is a Director of Enron;
80. Robert K. Jaedicke, Defendant is a Director of Enron;
81. Robert A. Belfer, Defendant, is Director of Enron;
82. Charles A. Lemaistre, Defendant is a Director of Enron;
83. John Mendelsohn, Defendant is a Director of Enron;
84. Jerome J. Meyer, Defendant is a Director of Enron;
85. Paulo V. Ferraz Pereira, Defendant is a Director of Enron;
86. Frank Savage, Defendant is a Director of Enron;
87. John A. Urquhart, Defendant is a Director of Enron;
88. John Wakeham, Defendant is a Director of Enron;
89. Herbert S. Winokur, Jr., Defendant is a Director of Enron;
90. The law firm of Gibbs & Bruns, L.L.P., as attorney for persons listed in numbers 75 through 89;
91. Mark Frevert, Defendant is Vice-Chairman of the Board of Enron;
92. Richard Causey, Defendant
93. The law firm of Clements O'Neill Pierce Nickens & Wilson, as attorneys for Mark Frevert and Richard Causey;
94. Arthur Andersen, L.L.P., Defendant;
95. The law firm of Russell Hardin & Associates, P.C., as attorneys for Arthur Andersen, L.L.P.;
96. Goldman Sachs & Co., Defendant;

97. Banc of America Securities, L.L.C., Defendant; and
98. Salomon Smith Barney, Inc., Defendant.

Respectfully submitted,

BEIRNE, MAYNARD & PARSONS, L.L.P.

By: 
Martin D. Beirne
Texas State Bar No. 02055000
Blake Tartt
Texas State Bar No. 00000058
1300 Post Oak Boulevard, 25th Floor
Houston, Texas 77056-3000
(713) 623-0887
(713) 963-1527

ATTORNEYS FOR PLAINTIFFS

OF COUNSEL:

SHAPIRO HABER & URMY, LLP
Thomas Shapiro
75 State Street
Boston, Massachusetts 02109
(617) 439-3939
(617) 439-0134 (fax)

WOLF POPPER, LLP
845 Third Avenue
New York, NY 10022
Tel: (212) 759-4600

CERTIFICATE OF SERVICE

This hereby certifies that a true and correct copy of the above and foregoing **Plaintiff, Pulsifer & Associates' Certificate of Financially Interested Parties** has been forwarded to all counsel of record via United States certified mail, return receipt requested, facsimile and/or hand delivered on this 28th day of December, 2001.

All known counsel of record service list attached.



Blake Tartt

SERVICE LIST TO PLAINTIFF PULSIFER & ASSOCIATES'
CERTIFICATE OF FINANCIALLY INTERESTED PARTIES

James D. Baskin, III, Esq.
Baskin Bennett & Komkov LLP
919 Congress Avenue, Suite 1000
Austin, TX 78701

Thomas Bilek
Hoeffner, Bilek & Eidman
440 Louisiana, Suite 720
Houston, TX 77002

Anthony Bolognese, Esq.
Bolognese & Associates, LLC
One Penn Center
1617 JFK Boulevard, Suite 650
Philadelphia, PA 19103

Tzivia Brody, Esq.
Stull, Stull & Brody
6 East 54th Street
New York, NY 10017

Peter Bull
Bull & Lifshitz
18 East 41st
New York, NY 10017

Louis F. Burke
360 Lexington Avenue, 14th Floor
New York, NY 10017

Michael Carroll
450 Lexington Avenue
New York, NY 10017

Solomon B. Cera
Gold Bennet Cera & Sidner
595 Market Street, Suite 2300
San Francisco, CA 94104-2835

Leslie A. Conason, Esq.
The City of New York Law Department
59 Maiden Lane
New York, NY 10038

James E. Coleman, Jr., Esq.
Carrington Coleman Sloman &
Blumenthal LLP
200 Crescent Court, Suite 1500
Dallas, TX 75201

Leo W. Desmond, Esq.
Law Offices of Leo W. Desmond
2161 Palm Beach Lakes Boulevard, Suite 204
West Palm Beach, FL 33409

Glen DeValerio
Berman DeValerio Pease Tabacco Burt &
Pucillo
One Liberty Square
Boston, Massachusetts 02109

Michael Donovan
595 Market Street, Suite 2300
San Francisco, CA 94105

Richard Drubel
Boies, Schiller & Flexner, L.L.P.
26 South Main Street
Hanover, New Hampshire 03758

Marc H. Edelson, Esq.
Hoffman & Edelson, LLC
45 West Court Street
Doylestown, PA 18901

Mike Egan, Esq.
Bernstein Liebhard & Lifshitz, LLP
10 East 40th Street
New York, NY 10016

Kenneth Elan, Esq.
Law Offices of Kenneth Elan
217 Broadway, Suite 606
New York, NY 10007

William B. Federman
Federman & Sherwood
120 North Robinson, Suite 2720
Oklahoma City, OK 73102

Frederic S. Fox, Esq.
Kaplan Fox & Kilsheimer LLP

600 West Broadway
1800 One America Plaza
San Diego, CA 92101-3356

Robert M. Roseman, Esq.
Spector, Roseman & Kodroff, P.C.
1818 Market Street, Suite 2500
Philadelphia, PA 19103

Thomas W. Sankey, Esq.
Sankey & Luck, L.L.P.
600 Travis Street, Suite 6200
Houston, TX 77002

Sherrie R. Savett, Esq.
Berger & Montague
1622 Locust Street
Philadelphia, PA 19103

Paul Scarlato
1608 Walnut Street
Philadelphia, PA 19103

Andrew M. Schatz, Esq.
Schatz & Nobel, P.C.
330 Main Street
Hartford, CT 06106

David R. Scott, Esq.
Scott & Scott, LLC
108 Norwich Avenue
Colchester, CT 06415

Thomas Shaprio
Shaprio, Haber & Urmey, LLP
75 State Street
Boston, MA 02109

Craig Smyser, Esq.
Smyser, Kaplan & Veselka, LLP
700 Louisiana, Suite 2300
Houston, TX 77002

Fred E. Stoops Sr.
Shaprio, Haber & Urmey, LLP
75 State Street
Boston, MA 02109

Stephen D. Susman, Esq.
Susman Godfrey L.L.P.
1000 Louisiana Street, Suite 5100
Houston, TX 77002

Blake Tartt
Beirne, Maynard & Parsons, L.L.P.
1300 Post Oak Blvd., Suite 2500
Houston, Texas 77056

Steven J. Toll, Esq.
Cohen, Milstein, Hausfeld &
Toll, P.L.L.C.
1100 New York Avenue, NW
West Tower, Suite 500
Washington, DC 20005

Curtis Trinko, Esq.
Law Offices of Curtis V. Trinko, L.L.P.
16 West 46th Street, Floor 7
New York, NY 10036

Joseph H. Weiss
Weiss & Yourman
551 Fifth Avenue
Suite 1600
New York, NY 10176

A. Martin Wickliff Jr.
Wickliff & Hall
1000 Louisiana, Suite 5400
Houston, Texas 77002-5013

Alfred G. Yates, Jr.
Law Offices of Alfred G. Yates, Jr.
519 Allegheny Building
429 Forbes Avenue
Pittsburgh, PA 15219

R. Paul Yetter
Yetter & Warden, L.L.P.
600 Travis, Suite 3800
Houston, Texas 77002

Jeffery C. Zwerling
Zwerling, Schachter & Zwerling
767 Third Avenue
New York, NY 10017